## Advanced Systems Lab

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Lecture: Memory hierarchy, locality, caches

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## Organization

- Temporal and spatial locality
- Memory hierarchy
- Caches

Chapter 5 in Computer Systems: A Programmer's Perspective, $2^{\text {nd }}$ edition, Randal E. Bryant and David R. O'Hallaron, Addison Wesley 2010
Part of these slides are adapted from the course associated with this book

## Problem: Processor-Memory Bottleneck



Solution: Caches/Memory hierarchy

## Typical Memory Hierarchy




## Why Caches Work: Locality

- Locality: Programs tend to use data and instructions with addresses near or equal to those they have used recently
History of locality
- Temporal locality:

Recently referenced items are likely to be referenced again in the near future


- Spatial locality:

Items with nearby addresses tend to be referenced close together in time


## Example: Locality?

```
sum = 0;
for (i = 0; i < n; i++)
    sum += a[i];
return sum;
```

- Data:
- Temporal: sum referenced in each iteration
- Spatial: array a[] accessed consecutively
- Instructions:
- Temporal: loops cycle through the same instructions
- Spatial: instructions referenced in sequence
- Being able to assess the locality of code is a crucial skill for a performance programmer


## Locality Example \#1

```
int sum_array_rows(double a[M][N])
{
    int i, j, sum = 0;
    for (i = 0; i < M; i++)
        for (j = 0; j < N; j++)
            sum += a[i][j];
    return sum;
}
```


## Locality Example \#2

```
int sum_array_cols(double a[M][N])
{
    int i, j, sum = 0;
    for (j = 0; j < N; j++)
        for (i = 0; i < M; i++)
            sum += a[i][j];
    return sum;
}
```


## Locality Example \#3

```
int sum_array_3d(double a[K][M][N])
{
    int i, j, k, sum = 0;
    for (i = 0; i < M; i++)
        for (j = 0; j < N; j++)
            for (k = 0; k < K; k++)
                sum += a[k][i][j];
    return sum;
}
```




## Operational Intensity Again

- Definition: Given a program P, assume cold (empty) cache

- Examples: Determine asymptotic bounds on I( n )
- Vector sum: $y=x+y \quad O(1)$
- Matrix-vector product: $y=A x \quad O(1)$
- Fast Fourier transform O(log(n))
- Matrix-matrix product: $\mathrm{C}=\mathrm{AB}+\mathrm{C} \quad \mathrm{O}(\mathrm{n})$


## Compute/Memory Bound

- A function/piece of code is:
- Compute bound if it has high operational intensity
- Memory bound if it has low operational intensity
- Relationship between operational intensity and locality?
- They are closely related
- Operational intensity only describes the boundary last level cache/memory


## Effects

FFT: $I(n)=O(\log (n))$
Discrete Fourier Transform (DFT) on $2 \times$ core 2 Duo 3 GHz (single)
Gfolop/s
30
25
20

Up to 40-50\% peak
Performance drop outside last level cache (LLC)
Most time spent transferring data

MMM: $I(n)=O(n)$


Up to 80-90\% peak
Performance can be maintained outside LLC Cache miss time compensated/hidden by computation

## Cache

- Definition: Computer memory with short access time used for the storage of frequently or recently used instructions or data

- Naturally supports temporal locality
- Spatial locality is supported by transferring data in blocks
- Core family: one block $=64 \mathrm{~B}=8$ doubles


## General Cache Mechanics



# General Cache Concepts: Hit 



## General Cache Concepts: Miss



## Types of Cache Misses (The 3 C's)

- Compulsory (cold) miss

Occurs on first access to a block

- Capacity miss

Occurs when working set is larger than the cache

- Conflict miss

Conflict misses occur when the cache is large enough, but multiple data objects all map to the same slot

- Not a clean classification but still useful


## Cache Structure

- Example 1: direct mapped cache ( $\mathrm{E}=1, \mathrm{~B}=4$ doubles, $\mathrm{S}=8$ )


Always entire blocks (here 32 bytes) are loaded into cache

## Example ( $\mathrm{S}=8, \mathrm{E}=1$ )

```
int sum_array_rows(double a[16][16])
{
    int i, j;
    double sum = 0;
    for (i = 0; i < 16; i++)
        for (j = 0; j < 16; j++)
            sum += a[i][j];
    return sum;
}
```

int sum_array_cols(double a[16][16])
\{
int i, j;
double sum = 0;
for ( $\mathrm{j}=0 ; \mathrm{j}<16 ; \mathrm{j}++$ )
for ( $i=0$; $i<16$; $i++$ )
sum += a[i][j];
return sum;
\}

Ignore the variables sum, $i, j$
assume: cold (empty) cache, $a[0][0]$ goes here


$$
B=32 \text { byte }=4 \text { doubles }
$$

How is the cache filled?

## Cache Structure

- Add associativity ( $E=2, \mathrm{~B}=4$ doubles, $\mathrm{S}=8$ )


E-way set-associative cache:
every value has E possible locations
Usually, least recently used (LRU) is replaced
Always entire blocks (here 32 bytes) are loaded into cache

## Example ( $\mathrm{S}=4, \mathrm{E}=2$ )

```
int sum_array_rows(double a[16][16])
{
    int i, j;
    double sum = 0;
    for (i = 0; i < 16; i++)
        for (j = 0; j < 16; j++)
            sum += a[i][j];
    return sum;
}
```

```
int sum_array_cols(double a[16][16])
{
    int i, j;
    double sum = 0;
    for (j = 0; j < 16; j++)
        for (i = 0; i < 16; i++)
            sum += a[i][j];
    return sum;
}
```

Ignore the variables sum, $i, j$
assume: cold (empty) cache, $a[0][0]$ goes here


[^0]
## General Cache Organization (S, E, B)




## Terminology

- Direct mapped cache:
- Cache with E = 1
- Means every block from memory has a unique location in cache
- Fully associative cache
- Cache with $S=1$ (i.e., maximal E)
- Means every block from memory can be mapped to any location in cache
- In practice to expensive to build
- One can view the register file as a fully associative cache
- LRU (least recently used) replacement
- when selecting which block should be replaced (happens only for $E>1$ ), the least recently used one is chosen


## Small Example, Part 1

x[0]


Cache:
$\mathrm{E}=1$ (direct mapped)
$\mathrm{S}=2$
$B=16$ (2 doubles)
B

Array (accessed twice in example) $x=x[0], \ldots, x[7]$

## Access pattern: 0123456701234567

Hit/Miss: MHMHMHMHMHMHMHMH

Result: 8 misses, 8 hits
Spatial locality: yes
Temporal locality: no

## Small Example, Part 2

```
x[0]
```



Cache:
Array (accessed twice in example)
$\mathrm{E}=1$ (direct mapped)
$\mathrm{S}=2$
B = 16 (2 doubles)

```
% Matlab style code
for j = 0:1
    for i = 0:2:7
        access(x[i])
    for i = 1:2:7
        access(x[i])
```

Result: 16 misses
Spatial locality: no
Temporal locality: no

Access pattern: 0246135702461357
Hit/Miss: MМММММММММММММММ

## Small Example, Part 3

x[0]


Cache:
E = 1 (direct mapped)
$S=2$
B = 16 (2 doubles)

Array (accessed twice in example) $x=x[0], . . ., x[7]$
\% Matlab style code
for $j=0: 1$
for $k=0: 1$
for $i=0: 3$ $\operatorname{access}(x[i+4 j])$

Access pattern: 0123012345674567
Hit/Miss: MHMHHHHHMHMHHHHH

Result: 4 misses, 12 hits (is optimal, why?)
Spatial locality: yes
Temporal locality: yes

## Cache Performance Metrics

- Miss Rate
- Fraction of memory references not found in cache: misses / accesses
= 1 - hit rate
- Hit Time
- Time to deliver a block in the cache to the processor
- Haswell:

4 clock cycles for L1
11 clock cycles for L2

- Miss Penalty
- Additional time required because of a miss
- Haswell: about 100 cycles for L3 miss


## What about writes?

- What to do on a write-hit?
- Write-through: write immediately to memory
- Write-back: defer write to memory until replacement of line
- What to do on a write-miss?
- Write-allocate: load into cache, update line in cache
- No-write-allocate: writes immediately to memory

Write-back/write-allocate (Core)
Write-through/no-write-allocate


Write-miss
Write-hit


Write-hit
Write-miss
30

## Example:

- $z=x+y, x, y, z$ vector of length $n$
- assume they fit jointly in cache + cold cache
- memory traffic $\mathbf{Q}(\mathrm{n}): 4 \mathrm{n}$ doubles $=32 \mathrm{n}$ bytes
- operational intensity $\mathrm{I}(\mathrm{n})$ ? $\mathrm{W}(\mathrm{n})=\mathrm{n}$ flops, so $\mathrm{I}(\mathrm{n})=\mathrm{W}(\mathrm{n}) / \mathrm{Q}(\mathrm{n})=1 / 32$


## Locality Optimization: Blocking

- Example: MMM

```
void mmm(double *A, double *B, double *C, int n) {
    for( int i = 0; i < n; i++ )
        for( int j = 0; j < n; j++ )
        for( int k = 0; k < n; k++ )
        C[n*i + j] = C[n*i + j] + A[n*i + k]* B[n*k + j]; }
```


column j

## Cache Miss Analysis MMM <br> $C=A * B$, all $n \times n$

Assumptions: cache size $\gamma \ll n$, cache block: 8 doubles, only 1 cache

Triple loop:


Blocked (six-fold loop): block size b, 8 divides b


1. block: $n b / 8+n b / 8=n b / 4$ cache misses
2. block: same

Total: $\quad(n / b)^{2} * n b / 4=n^{3} /(4 b)$

## How to choose b?

The above analysis assumes that the multiplication of $b \times b$ blocks can be done with only compulsory misses. This requires $3 b^{2} \leq \gamma$.
$b=\operatorname{sqrt}(\gamma / 3)$ which yields about $\operatorname{sqrt}(3) /\left(4^{*} \operatorname{sqrt}(\gamma)\right) * n^{3}$ cache misses, a gain of $\approx 2.6^{*} \operatorname{sqrt}(\gamma)$

## On Previous Slide

- Refine the analysis by including the misses incurred by C
- Compute the operational intensity in both cases
- Try an analogous analysis for matrix-vector multiplication


## The Killer: Two-Power Strided Working Sets

```
% t = 1,2,4,8,\ldots. a 2-power
% size W of working set: W = n/t
for (i = 0; i < n; i += t)
    access(x[i])
for (i = 0; i < n; i += t)
    access(x[i])
```

Cache: $E=2, B=4$ doubles
$t=1: \quad t=2: \quad t=4: \quad t \geq 4 S:$

|  |  |  |  |
| :--- | :--- | :--- | :--- |
| Spatial locality | Some spatial locality | No spatial locality | No spatial locality |

## The Killer: Where Can It Occur?

- Accessing two-power size 2D arrays (e.g., images) columnwise
- 2d Transforms
- Stencil computations
- Correlations


## - Various transform algorithms

- Fast Fourier transform
- Wavelet transforms
- Filter banks


## Summary

- It is important to assess temporal and spatial locality in the code
- Cache structure is determined by three parameters
- block size
- number of sets
- associativity
- You should be able to roughly simulate a computation on paper
- Blocking to improve locality
- Two-power strides are problematic (conflict misses)


[^0]:    How is the cache filled?

